



See for \$  
Box 1504

PATENT

Attorney Docket No. 208859

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Roelvink et al.

Art Unit: 1635

Application No. 09/780,224

Examiner: Unassigned

Filed: February 9, 2001

For: ADENOVIRAL CAPSID ENCODING  
CHIMERIC PROTEIN IX

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner of Patents  
Washington, D.C. 20231

Applicant hereby responds to the Notice To File Missing Parts of Application dated March 27, 2001.

The items checked below are appropriate:

1. Status of Applicant

This application is on behalf of ☐ other than a small entity or ☒ a small entity.

2. Fees

Pursuant to 37 C.F.R. § 1.16(e), the surcharge for filing this Response is for  
☐ other than a small entity or ☒ a small entity.

Fee Due \$65.00

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CERTIFICATE OF MAILING

I hereby certify that this document (along with any documents referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

May 11, 2001  
Date

In re Application of Roelvink et al.  
Application No. 09/780,224

### 3. Documents Submitted Herewith

- a. ☐ Executed Declaration of inventor(s)
- b. ☐ Nucleotide and/or Amino Acid Sequence Submission:
  - i. ☐ Computer Readable Form (CRF)
  - ii. Specification Sequence Listing on:
    - (1) ☐ CD-ROM or CD-R (2 copies); or
    - (2) ☒ Paper Copy
  - iii. ☐ Statement verifying identity of above copies
- c. ☐ Verified English translation of application
- d. ☐ Copy of the Notice to File Missing Parts of Application.
- e. ☐ Other: Return Receipt Postal Card.

Amendment to the Paper Copy of the Sequence Listing  
(37 CFR 1.825)

### 4. Extension Of Term

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136 apply.

- ☐ Applicant petitions for a one-month extension of time under 37 C.F.R. § 1.136, the fee for which is \$110.00.
- ☒ Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

**Extension fee due with this request: \$ 0.00**

### 5. Total Fee Due

The total fee due is:

|                        |         |
|------------------------|---------|
| Surcharge              | \$65.00 |
| Extension Fee (if any) | \$0.00  |

**Total Fee Due: \$ 65.00**

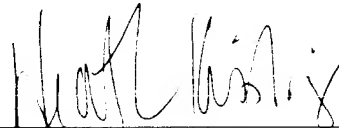
In re Application of Roelvink et al.  
Application No. 09/780,224

**6. Fee Payment**

- ☐ Attached is a check in the sum of \$ .
- ☒ Charge Account No. 12-1216 the sum of \$ 65.00. A duplicate of this transmittal is attached.

**7. Fee Deficiency**

- ☒ If any additional fee is required in connection with this communication, charge Account No. 12-1216. A duplicate of this transmittal is attached.



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One of the Agents for Applicant(s)  
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Date: May 11, 2001

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, DC 20590  
www.uspto.gov

| APPLICATION NUMBER | FILING RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY'S REFERENCE NUMBER |
|--------------------|---------------------|-----------------------|-----------------------------|
| 09/780,224         | 02/09/2001          | Petrus W. Roelvink    | 208859                      |

CONFIRMATION NO. 6994

23460

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180 NORTH STETSON AVENUE  
CHICAGO, IL 60601-6780

## FORMALITIES LETTER



\*OC000000005905444\*

Date Mailed: 03/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice MUST be returned with the reply.*

\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 508-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE